

Uniform Conflict of Interest in a Contract Disclosure Statement

Indiana Code 4-2-6-10.5

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. This prohibition, however, does not apply to an officer, employee, or special state appointee who does not participate in or have official responsibility for any of the activities of the contracting agency, provided that certain criteria are met. One criterion is that the officer, employee, or special state appointee must file with the State Ethics Commission ("Commission") a statement making full disclosure of all related financial interests in the contract.

An officer, employee, or special state appointee has a financial interest in a contract if there is an interest in a purchase, sale, lease, contract, option, or other transaction between an agency and any person or if there is an interest involving property or services. Financial interest includes an interest arising from employment or prospective employment for which negotiations have begun. The term does not include an interest of a state officer or employee in the common stock of a corporation unless the combined holdings in the corporation of the state officer or employee, that individual's spouse, and that individual's unemancipated children are more than one percent (1%) of the outstanding shares of the common stock of the corporation. The term does not include an interest that is not greater than the interest of the general public or any state officer or employee.

The foregoing consists only of excerpts from I.C. 4-2-6-10.5. Care should be taken to review I.C. 4-2-6-10.5 in its entirety in its entirety and ensure compliance all criteria set forth in IC 4-2-6-10.5 (b)(1)(A)-(D).

1. **Name and Address of State Officer, Employee, or Special State Appointee submitting Statement:** _____

2. **Title or Position with Agency:** _____

3. **Agency:** _____
4. **Name(s) of Contractor(s) or Vendor(s):** _____

5. **Description(s) of Contract(s):** (Describe the kind of contract involved and the effective date and term of the contract if reasonably determinable.)

6. **Description of the Financial Interest:** (Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract(s). State the approximate dollar value of the interest if reasonably determinable.)

(Attach extra pages if additional space is needed)

7. **Approval of Appointing Authority:** (To be completed in the case of a contract for professional services.)

Being the _____
(Title of Appointing Authority)

of _____
(Name of Contracting Agency)

and having the duty to file a written certification with the Commission, I hereby affirm that no other state officer, employee, or special state appointee of

_____ is available to perform
(Name of the Contracting Agency)

those services as part of the regular duties of the state officer, employee, or special state appointee.

(Appointing Authority)

(Signature)

8. **Affirmation of State Officer, Employee, or Special State Appointee:** This statement was submitted to the Commission pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. I affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signed: _____

Date: _____